SEC Form 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person
SAN AGUSTIN JOHN SHIMIZU
(First) SAN AGUSTIN JOHN SHIMIZU
(Middle) (Last) 111 CHALAN SANTO PAPA
(State) HAGATNA GU 96910
(City) (Street) (Zip)

2. Issuer Name and Ticker or Trading Symbol
BankGuam Holding Co [ BKGM ]

3. Date of Earliest Transaction (Month/Day/Year)
07/22/2022

4. If Amendment, Date of Original Filed

5. Relationship of Reporting Person(s) to Issuer
(X) Director 10% Owner
Officer (give title below)
Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
(X) Form filed by One Reporting Person
Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Code (Instr. 8) 5. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 7. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 8. Nature of Indirect Beneficial Ownership (Instr. 4)

Common Stock 07/22/2022 P 62 A $14.65 5,553 D

Common Stock 37,070 D(1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security (Instr. 3) 2. Conversion or Exercise Price of Derivative Security 3. Transaction Date (Month/Day/Year) 4. Transaction Code (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5) 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) 11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:
1. 37,070 shares are subject to a certain Voting Trust Agreement dated November 29, 2013 of which Ms. Lourdes Leon Guerrero is the Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

/s/ Amoretta L P Carlson, attorney-in- fact for Mr. John Shimizu San Agustin 07/27/2022
** Signature of Reporting Person Date

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